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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kazuaki SAKURADA : Patent Art Unit: 1762

Serial No.: 10/796,276

Filed: March 10, 2004

For: APPARATUS AND METHOD FOR

FABRICATING FUNCTIONAL FILM

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Sir:

In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings the references listed on the attached PTO-1449-Form to the Examiner's attention and requests that they be considered and made of record in this application. *Copies of the non-U.S.* patents are attached.

Since this application was filed after June 30, 2003, the U.S. Patent and Trademark Office waives the requirement under 37 C.F.R. 1.98 (a) (2) (i) for submitting a copy of each cited U.S. Patent and each U.S. patent application publication.

I hereby certify that each item of information in the Information Disclosure Statement filed herewith was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

No fee is believed to be required in view of the above certification. However, Applicant authorizes the Commissioner of Patents and Trademarks to charge payment of the Petition fee Under 37 C.F.R. §1.17(p) if required and any other fees associated with this communication or credit any overpayment to Deposit Account No. 50-1836.

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EFS-Web Receipt date: 11/28/2006

Serial No.:10/796,276 Filed: March 10, 2004 Page 2 of 2

U.S. Patent No. 6,660,332 is believed to be an English language counterpart of the Japanese Publication No. 2002-221616, and is cited in lieu of a translation because the U.S. counterpart is believed to overlap sufficiently to understand the relevance of the Japanese Publication No. 2002-221616.

U.S. Patent No. 6,783,208 is believed to be an English language counterpart of the Japanese Publication No. 2002-250811, and is cited in lieu of a translation because the U.S. counterpart is believed to overlap sufficiently to understand the relevance of the Japanese Publication No. 2002-250811.

Prompt examination on the merits is respectfully requested.

Respectfully submitted,

Nomugi Tomoyori

Limited Recognition No. L0264

GLOBAL IP COUNSELORS, LLP 1233 Twentieth Street, NW, Suite 700 Washington, DC 20036

(202)-293-0444

Dated:

11/28/06

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eb-Receipt date: 11/28/<u>20</u>06

Approved for us U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## ÍNFORMATION DISCLOSURE STATEMENT BY APPLICANT

of Sheet

itute for form 1449A/PTO FORMATION DISCLOSURE ATEMENT BY APPLICANT			Complete if Known		
			Application Number	10/796,276	
			Filing Date	March 10, 2004	
			First Named Inventor	Kazuaki SAKURADA	
			Group Art Unit	1762	
(use as many sheets as necessary)			Examiner Name		
1	of	1	Attorney Docket Number	SE-US045044	

PTO/SB/08A (10-01)

ough 10/31/2002. OMB 0651-0031

	U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Retevant Passages or Relevant Figures Appear	
7SA/		US- 6,660,332-B2	12-09-2003	KAWASE et al.	rigules Appeal	
/SA/	<del>                                     </del>	US- 6,783,208-B2	08-31-2004	KAWASE et al.		
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	۲¢
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document		
/SA/		JP-2002-221616-A	08-09-2002	KAWASE et al.		Υ
/SA/		JP-2002-221617-A	08-09-2002	KAWASE et al.		Y
/SA/		JP-2002-225259-A	08-14-2002	KAWASE et al.		Y
/SA/		JP-2002-250811-A	09-06-2002	KAWASE et al.		Y

Examiner Signature	/Shamim Ahmed/ (05/09/2008)	Date Considered	05/09/2008
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached